

1 *Power comparisons and clinical meaning of outcome measures in*  
2 *assessing treatment effect in cancer cachexia: secondary analysis from a*  
3 *randomised pilot multimodal intervention trial*

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24 **Keywords: cachexia; multimodal management; outcome measures; biomarkers; body**  
25 **composition; effect size; sample size**

26

27 **Abstract**

28 **Background:** New clinical trials in cancer cachexia are essential and outcome measures with high  
29 responsiveness to detect meaningful changes are crucial. This secondary analysis from a multimodal  
30 intervention trial estimates sensitivity to change and between treatment effect sizes (ESs) of outcome  
31 measures associated with body composition, physical function, metabolism and trial intervention.

32 **Methods:** The study was a multicenter, open label, randomised pilot study investigating the  
33 feasibility of a six-week multimodal intervention (exercise, non-steroidal anti-inflammatory drugs  
34 and oral nutritional supplements containing polyunsaturated fatty acids (n-3 PUFAs) versus standard  
35 cancer care in non-operable non-small cell lung cancer and advanced pancreatic cancer. Body  
36 composition measures from computerized tomography scans and circulating biomarkers were  
37 analyzed.

38 **Results:** Forty-six patients were randomised, and the analysis included 22 and 18 patients in the  
39 treatment and control groups, respectively. The between group ESs were high for bodyweight  
40 (ES=1.2,  $p<0.001$ ), small for body composition and physical function (HGS) measures (ES<0.25),  
41 moderate to high for n-3 PUFAs and 25-hydroxyvitamin D (ES range 0.64 to 1.37,  $p<0.05$  for all) and  
42 moderate for serum C-reactive protein (ES=0.53,  $p=0.12$ ). Analysis within the multimodal treatment  
43 group, showed high sensitivity to change for adiponectin (ES=0.86,  $p=0.001$ ), n-3 PUFAs (ES >0.8,  
44  $p<0.05$  for all) and moderate for 25-hydroxyvitamin D (ES=0.49,  $p=0.03$ ). In the control group, a  
45 moderate sensitivity to change for bodyweight (ES=-0.84,  $p=0.002$ ) and muscle mass (ES=-0.67,  
46  $p=0.016$ ), and a high sensitivity to change for plasma levels of 25-hydroxyvitamin D (ES=-0.88,  
47  $p=0.002$ ) were found.

48 **Conclusion:** Demonstrating high sensitivity to change and between treatment ES compared to body  
49 composition measures, bodyweight still stands out as a clinical and relevant outcome measure in  
50 cancer cachexia. Body composition and physical function measures clearly are important to address  
51 but demand large sample sizes to detect treatment group differences.

52 **Trial registration:** ClinicalTrials.gov identifier: NCT01419145.

53 **Keywords:** Cachexia; Multimodal management; Outcome measure; Biomarkers; Body  
54 composition; Effect size; Sample size

## 55 INTRODUCTION

56 Cancer cachexia is a complex multifactorial syndrome resulting in progressive weight loss due to loss  
57 of skeletal muscle mass with or without depletion of adipose tissue leading to progressive loss of  
58 physical function (1). Discussion of how to evaluate the effect of any anti-cachexia therapy is  
59 continuously ongoing and there is no consensus as to the optimal outcome measures in clinical trials  
60 (2, 3). Weight loss is the defining factor of cachexia according to the international cachexia  
61 definition, but may not always be a valid indicator (2). Weight gain might be due to oedema and/or  
62 ascites and may conceal muscle loss due to adiposity. Change in lean body mass is regularly used as  
63 an outcome measure in clinical trials, but the magnitude of clinically relevant changes has not yet  
64 been established. The loss of lipid reserves may also contribute to the cachexia phenotype. Depletion  
65 of fat depots is more prominent and often precedes loss of muscle mass in cancer patients (4, 5), but  
66 the significance of fat mass as an outcome measure in cachexia trials is not well studied. Candidate  
67 outcome measures should be responsive to change, which implies that they need to be specific to the  
68 cachexia pathophysiology. Ideally, such outcome measures should not be significantly influenced by  
69 other factors contributing to wasting, such as antineoplastic therapy or immobilization. Nevertheless,  
70 this is practically impossible as cachexia pathophysiology is complex, and any cachexia treatment  
71 may be influenced by effects of antineoplastic treatment, as treating cancer is also a treatment for  
72 cachexia.

73 The clinical need for early diagnosis and treatment of cachexia supports the need to identify specific  
74 biomarkers that precociously detect the wasting process (6). If cachexia intervention trials can  
75 demonstrate beneficial effects on body composition measures, an important question is whether  
76 circulating biomarkers representing key metabolic alterations can be used complementary to such  
77 clinical outcomes and add information about the underlying pathophysiology. So far, a limited  
78 number of clinical outcome measures have been explored in cachexia trials, most likely a  
79 consequence of ongoing definitional ambiguities together with the complexity of the condition. There  
80 is a need to establish reliable clinical outcomes, including circulating biomarkers, and evaluate their  
81 sensitivity to change in patients with cancer cachexia.

82 This report presents secondary analyses of data from a pilot randomised phase II multimodal  
83 intervention trial for treatment of cachexia evaluating implementation and effect of oral nutritional  
84 supplements (ONS) containing polyunsaturated fatty acids (n-3 PUFAs), exercise and non-steroidal  
85 anti-inflammatory drugs (NSAIDs) compared to standard cancer care (7). The multimodal  
86 intervention resulted in a stabilization of bodyweight, while patients in the control arm lost weight  
87 (7). The overall aim of the present study was to estimate sensitivity to change and between treatment  
88 effect sizes (ESs) of outcome measures associated with body composition, physical function,  
89 metabolism as well as markers of the trial intervention. Considering these outcome measures,  
90 implications for trial design with regards to sample size will be discussed.

## 91 MATERIALS AND METHODS

### 92 Trial design and patients

93 The study was a multicenter, open label, randomised phase II pilot study investigating the feasibility  
94 of a six-week multimodal intervention for cachexia versus standard cancer care. This study recruited  
95 those with non-operable non-small lung cancer (NSCLC) (stage III-IV) or advanced pancreatic  
96 cancer, starting antineoplastic therapy (7). The primary aim of the feasibility study was to assess  
97 recruitment, compliance and contamination in the control arm (7), and a phase III efficacy study is  
98 now ongoing (MENAC Trial, ClinicalTrials.gov: NCT02330926) (8). Forty-six patients were  
99 included in the study, three patients in each group were excluded due to missing blood samples at

100 week six. The present analysis includes 22 and 18 patients in the treatment and control groups  
101 respectively (7). Characteristics of the study participants indicate that the two groups were  
102 comparable at baseline in terms of gender, age, cancer type, Karnofsky performance score, body  
103 mass index (BMI) and pre-inclusion weight loss (Table 1). The protocol received ethics and medical  
104 agency approval from all centers and written informed consent was obtained from all patients. The  
105 study is registered at ClinicalTrials.gov (NCT01419145).

### 106 **Body composition measures**

107 Anthropometric measurements for bodyweight (kg) and height (cm) were obtained from all participating  
108 patients and BMI was calculated ( $\text{kg}/\text{m}^2$ ). Total muscle mass and adipose tissue area were quantified  
109 using computerized tomography (CT) imaging covering the abdomen area at the third lumbar vertebra  
110 (L3) taken at baseline and after six weeks (9, 10). Axial images were selected out and analyzed using the  
111 Automated Body Composition Analyzer using Computed tomography image Segmentation' (ABACS)  
112 software (11). Adipose tissue cross-sectional areas were calculated by using standard Hounsfield Unit  
113 (HU) thresholds of -150 to -50 HU for visceral adipose tissue, -190 to -30 HU for subcutaneous adipose  
114 tissue and -29 to +150 HU for muscle tissue (12, 13). Tissue cross-sectional areas ( $\text{cm}^2$ ) were calculated  
115 by adding up the given tissue pixels and multiplying by the pixel surface area. Visceral and subcutaneous  
116 adipose tissues cross-sectional areas were summarized to estimate total adipose tissue areas. The total  
117 muscle and adipose area were normalized for patient height to calculate total muscle and adipose index  
118 ( $\text{cm}^2/\text{m}^2$ ).

### 119 **Physical function**

120 Hand grip strength (HGS) (kg) was collected at baseline and after six weeks and measured with a  
121 hydraulic hand-held dynamometer (JAMAR). The test was performed using the dominant hand and three  
122 test trials were performed (7, 14).

### 123 **Collection, storing and processing of biological samples**

124 Baseline samples were collected before start of chemotherapy and at endpoint (week six +/- one week  
125 allowed according to the protocol). C-reactive protein (CRP) was collected using standard analytical  
126 methods applied by local hospitals. Blood samples from EDTA containers for isolation of plasma and  
127 container without additive for isolation of serum were centrifuged at 2200 g for 10 minutes, aliquoted to  
128 cryotubes and stored at  $-80^\circ\text{C}$ . During blood sample analysis, researchers were blinded to both the  
129 sample randomisation results and clinical data. All samples were analyzed in duplicates, and a fresh  
130 aliquot was used for each analysis with no prior freeze-thaw cycles.

### 131 **Analysis of adiponectin, zink- $\alpha$ 2 glycoprotein, insulin-like growth factor 1, glycerol and lipolysis**

132 Plasma levels of adiponectin, zink- $\alpha$ 2 glycoprotein (ZAG) and insulin-like growth factor 1 (IGF-1) were  
133 measured using ELISA (R&D systems, Abingdon, UK). A standard concentration curve was made for  
134 each ELISA plate with the manufacturer's control solution and used to calculate plasma concentrations in  
135 the samples assayed. A coefficient of variability among sample replicates calculated by dividing the  
136 standard deviation by the mean of the set of measurements expressed as a percentage of variation to the  
137 mean below 0.10 was determined to be acceptable. Glycerol was measured calorimetrically from serum in  
138  $\mu\text{mol}/\text{L}$  concentrations (Lipolysis kit LIP-3-NC, Zen-Bio, Durham, NC, USA). Lipolysis is presented as  
139 glycerol  $\mu\text{mol}/\text{L}/\text{total adipose index}$  ( $\text{cm}^2/\text{m}^2$ ) (15).

### 140 **Plasma n-3 PUFAs and 25-OH vitamin D analysis**

141 Phospholipids (PL) from blood plasma were extracted and fatty acids from the PL were transmethylated  
142 with boron-tri-fluoride in methanol. Quantification of n-3 PUFAs (eicosapentaenoic acid (EPA),  
143 docosahexaenoic acid (DHA), docosapentaenoic acid (DPA)) from PL was performed using gas

144 chromatography. The quantification is based on use of an internal standard with known concentration and  
145 the instrument Agilent 6890N gas-chromatograph with GC ChemStation software was used. PL  
146 concentration of n-3 PUFAs was calculated as % of total fatty acids in plasma PL. Plasma levels of 25-  
147 OH vitamin D were measured based on an ultra-performance liquid chromatography technique and  
148 detection by tandem mass spectrometry (Acquity UPLC® I Class med Xevo TQS MSMS (Waters)). This  
149 assay measures both 25-OH calsiol (vitamin D<sub>3</sub>) and 25-OH calsiferol (vitamin D<sub>2</sub>) and the sum of these  
150 two are presented. Both n-3 PUFAs and 25-OH vitamin D analyses were done at the Department of  
151 Medical Biochemistry, St. Olavs University hospital, Trondheim, Norway.

### 152 **Statistics**

153 Descriptive statistics are presented as means and standard deviations (SD). All analyses were carried out  
154 on the modified intention to treat population (defined as all randomised patients with both baseline and  
155 week six assessments). Comparisons between groups were conducted using t-tests for independent  
156 samples while paired sample t-tests were used to evaluate changes within each study group. For each  
157 outcome, ESs within and between groups (ES<sub>WG</sub> and ES<sub>BG</sub>) were calculated using appropriate formulas.  
158 ES<sub>WG</sub> was calculated using Cohen's d for one sample pre-post design to estimated sensitivity to change  
159 over time in each treatment group separately (16). Positive and negative values of ES<sub>WG</sub> indicate  
160 respectively an increase and a decrease in the outcome over time. ES<sub>BG</sub> was calculated using Hedges' g  
161 for two independent sample design on the pre-post variations, to estimate between treatment effects (16).  
162 A positive ES<sub>BG</sub> value indicated an advantage for the treatment arm with respect to the control. Reference  
163 values for small (<0.2), medium (<0.5) and large (>0.8) ESs were as used for results interpretation (17).  
164 Sample size per treatment arm by ES<sub>BG</sub> of the various outcome measures was calculated by t-test for  
165 independent samples (alpha error=0.05, power 0.9), and plotted in order to compare the relative power of  
166 the different outcome measures. Analyses were performed using IBM SPSS Statistic Software 25 for  
167 Windows and Stata 15.1 for Windows (StataCorp, College Station, Texas, USA).

## 168 **RESULTS**

### 169 **Body mass and body composition**

170 At baseline, the degree of weight loss was equally distributed between the two arms (Table 1). Mean (SD)  
171 change in bodyweight from baseline to week six within the two groups showed a small increase within  
172 the treatment arm (1.0 (2.5), p=0.08, ES<sub>WG</sub>=0.40) and a moderate, significant decrease within the control  
173 group (-2.1 (2.5), p=0.002, ES<sub>WG</sub>=-0.84) (Table 2). A significant difference between the two arms was  
174 found (p<0.001) with a high ES<sub>BG</sub>= 1.2 (Table 2).

175 When analyzing body composition measures (Table 2), significant time change was found for skeletal  
176 muscle mass index, which decreased within the control group (-1.8 cm<sup>2</sup>/m<sup>2</sup>, p=0.016, ES<sub>WG</sub>=-0.67) (Table  
177 2). Most ES<sub>WG</sub> in both groups were negative indicating a decline from baseline to week six, but these  
178 were very small in absolute magnitude within the treatment group (range -0.26 to +0.10) and higher in the  
179 control group (range -0.67 to -0.15). All ES<sub>BG</sub> indicate small effects in favor of the treatment group (all  
180 below 0.26 and none of them statistically significant) (penultimate column Table 2). The sample size  
181 needed to detect ES<sub>BG</sub> as those observed for bodyweight would be 15 participants with completed  
182 outcome measures per arm (orange color line in Figure 1) and in comparison, approximately 300 to 900  
183 participants per arm for body composition measures (blue lines in Figure 1, sample sizes not shown for  
184 ES<sub>BG</sub> <0.2).

### 185 **Physical function**

186 Physical function measured using HGPs showed no significant change between the two groups (p=0.93)  
187 with a very low ES<sub>BW</sub>=0.03. Within group analysis, a small mean (SD) reduction in HPS of -0.6 (7.1)

188 (ES<sub>WG</sub>=-0.08) for the treatment group and -0.8 (5.0) (ES<sub>WG</sub>=-0.17) for the control group was found.  
 189 Sample size by ES for HGS would be >1000 per treatment arm (black horizontal line in Figure 1, sample  
 190 sizes not shown for ES<0.2).

191 **Biological mediators**

192 As for serum CRP levels, a non-significant decrease was found within the treatment group with a mean  
 193 (SD) of -14.1 (37.9), medium ES<sub>WG</sub>=0.37, p=0.14 (Table 2). Within the control group, a low non-  
 194 significant mean (SD) increase of 2.6 (19.6), ES<sub>WG</sub>=-0.13, p =0.53 was observed with a medium ES<sub>BG</sub>  
 195 (0.53) in favor of the treatment group when comparing the two groups (p=0.12). For CRP, sample size by  
 196 ES would be 75 participants per treatment arm (blue color line in Figure 1). Plasma levels of adiponectin  
 197 increased significantly within both groups from baseline to week six with a mean (SD) change of 1.2 (1.4)  
 198 µg/mL, p=0.001 with a high ES<sub>WG</sub>=0.86 for the treatment group and 1.6 (2.9) µg/mL, p=0.04 and  
 199 moderate ES<sub>WG</sub>=0.55 for the control group (Table 2). No significant differences in change of adiponectin  
 200 levels between the groups were observed (p=0.63), low ES<sub>BG</sub>=0.16. No significant change within groups  
 201 or between groups were found for plasma levels of ZAG, IGF-1, glycerol or lipolysis (Table 2) (p>0.05  
 202 for all). ES<sub>WG</sub> for ZAG, IGF-1, glycerol and lipolysis in both arms were very small (<0.20), indicating no  
 203 change from baseline to week six. For adiponectin, a large ES<sub>WG</sub> in the treatment arm (>0.80) and a  
 204 medium ES<sub>WG</sub> in the control arm (>0.50) was observed. The ES<sub>BG</sub> for all variables were very small (all  
 205 <0.20 in favor of the treatment arm except for lipolysis (-0.001)). Sample sizes by ESs as those observed  
 206 for adiponectin, ZAG, glycerol or lipolysis would consequently range from around 1000 or more  
 207 participants per treatment arm (pink lines in Figure 1, sample sizes not shown for ES<0.2).

208 **Nutrient components**

209 The recommended intake of n-3 PUFA containing ONS in the treatment group was two containers/day,  
 210 however, the actual mean (SD) intake among the 22 patients was 1.1 (0.73) containers (range 0-2  
 211 containers/day) (7). Changes in plasma level (% of total fatty acids in plasma PL) from baseline to week  
 212 six for EPA, DHA and DPA are shown in Table 2. In the treatment group, significant mean (SD) increase  
 213 for EPA (2.1 (2.2) %, p<0.001), DHA (1.1 (1.3) %, p=0.001), and DPA (0.6 (0.7) %, p=0.001) was  
 214 demonstrated. In the control group, a significant increase was observed for EPA (0.6 (0.8) %, p=0.009).  
 215 Mean (SD) changes in EPA, DHA and DPA from baseline to week six were statistical significantly  
 216 increased in the treatment group compared to the control group (Table 2, p<0.05 for all).

217 A significant mean (SD) increase of 25-hydroxyvitamin D was observed in the treatment group (3.6 (7.4)  
 218 nmol/L, p=0.03) compared to a significant mean (SD) decrease in the control group (-7.5 (8.5) nmol/L,  
 219 p=0.03). The Change in 25-hydroxyvitamin D level was significant between the two groups (Table 2,  
 220 p<0.001). ES<sub>WG</sub> for EPA, DHA and DPA were large (>0.80 for all) and medium (0.49) for 25-  
 221 hydroxyvitamin D in the treatment arm and medium for EPA (0.75), DHA (0.40), DPA (0.5) and large for  
 222 25-hydroxyvitamin D (-0.88) in the control arm. The ES<sub>BG</sub> were medium for DHA (0.64) and large (>0.8)  
 223 for EPA, DPA and 25-hydroxyvitamin D in favor of the treatment arm. Accordingly, green lines in Figure  
 224 1 show that small sample sizes are needed per treatment arm for this set of variables if chosen as outcome  
 225 measures (52 participants for DHA, 29 for EPA, 23 for DPA and 12 for 25-hydroxyvitamin D).

226 **DISCUSSION**

227 The selection of valid and useful outcome measures is a critical step when designing cancer cachexia  
 228 trials. In the present study, we investigated cachexia outcome measures for their sensitivity to change  
 229 and ESs between treatment groups. Outcomes investigated were related to body mass and body  
 230 composition, physical function as well as circulating biomarkers representing metabolism and the  
 231 nutritional intervention. The outcome measures examined changed predominantly in favor of the  
 232 treatment arm, although high ES<sub>BG</sub> were demonstrated for bodyweight and the nutrient component  
 233 biomarkers only. Furthermore, our sample size estimations show a large difference between sample  
 234 sizes for bodyweight (n=15), body composition measures (approximately 300 to 900 participants)  
 235 and HGS (n>1000) if used as primary outcome.

236 Although frequently used, body composition is a challenging primary outcome measure in cancer  
 237 cachexia trials. Body composition, either measured as total lean mass (entire body weight minus fat),  
 238 skeletal muscle mass or fat mass, is in general extremely variable across the general population, and  
 239 in patients with cancer (18). This introduces the necessity of large sample sizes in clinical trials,  
 240 which again can emphasize statistical differences that are not necessarily clinically relevant (19).

241 Furthermore, as a prognostic indicator, CT is considered the “gold standard” measurement providing  
 242 high precision (<2% error) (20) and, demonstrating high correlation with assessment by dual energy  
 243 X-ray absorptiometry (DXA) (21). However, as an outcome measure, there are uncertainties to  
 244 whether the same cross-sectional area, such as L3 level used in the present trial, captures treatment  
 245 effects, especially if strength exercise intervention mainly involves large muscle groups in the upper  
 246 and lower extremities (7, 8). Considering fat mass, previous studies have also reported that a single  
 247 CT-image slice does not accurately predict adipose tissue changes during weight loss (22).  
 248 Nevertheless, compared to lean body mass measurements from DXA, muscle mass quantification  
 249 from CT images yields information on a tissue-organ level reflecting striated muscle only and  
 250 skeletal muscle mass specific changes.

251 Comparable trials testing the effect of novel anti-cachexia drugs (e.g Anamorelin or Selective  
 252 Androgen Receptor Modulators (SARMs)), have used body composition measurement such as lean  
 253 body mass (total or appendicular) as outcome measure (23-25). Different methodologies make  
 254 comparison of ES<sub>BG</sub> for body composition across trials challenging and furthermore, there is an  
 255 abundance of well-validated outcome measure for this purpose. Recent trials have added measures  
 256 that capture changes in physical function in conjunction with skeletal muscle mass to test efficacy of  
 257 anti-cachexia treatments. Albeit endorsed by regulatory authorities, the use of such co-primary  
 258 endpoints has so far had limited success, as corresponding effects are not demonstrated (26). The  
 259 magnitude of muscle mass loss in the control arm in this study does not evoke a corresponding  
 260 reduction in HGS. Low muscle mass is associated with reduced physical function, however, the  
 261 relationship is non-linear and likely, there is a variable impact on physical function outcomes  
 262 depending on the magnitude of changes in muscle mass (14). The potential of physical function  
 263 outcomes such as HGS (and other performance testing) to detect change relative to muscle/weight  
 264 changes in cancer cachexia remains unclear.

265 Cachexia is considered a multi-organ syndrome (27), and emerging evidence suggest there is a  
 266 crosstalk between adipose tissue and skeletal muscle (28). For instance, muscle wasting seems to be  
 267 preceded by signals generated from inflamed and dysregulated adipose tissue which may be present  
 268 prior to detectable loss of fat mass. The use of circulating biomarkers as outcome measures in clinical  
 269 trials could potentially overcome several of these challenges by representing specific metabolic  
 270 pathways. In the present study, there were neither within or between group changes in any fat mass  
 271 compartments nor for biomarkers representing loss of fat mass such as plasma levels of ZAG,

272 glycerol and lipolysis. This may indicate that adipose tissue biomarkers and fat mass correspond over  
273 time. It remains to be investigated whether any of these circulating biomarkers, or others not  
274 investigated in this study, demonstrate corresponding changes with body composition. Further, the  
275 prognostic and predictive value for loss of muscle mass independent of loss of adipose tissue, needs  
276 further investigation.

277 To understand the anti-cachexic mechanisms of any intervention, it is of importance to explore how  
278 interventions act on regulators of metabolism and inflammation. The loss of muscle mass within the  
279 control group was not followed by a corresponding change in IGF-1, a strong modulator of muscle  
280 mass synthesis. The effect of the multimodal intervention might prevent loss of muscle mass by  
281 targeting systemic inflammation, and thus acting anti-catabolic rather than being anabolic. This  
282 seems supported by the change in CRP in favor of the multimodal treatment with a medium  $ES_{BG}$  of  
283 0.53.

284 Adiponectin is involved in regulation of glucose and lipid metabolism and has insulin-sensitizing and  
285 anti-inflammatory properties (29). To our knowledge, this is the first study to evaluate how  
286 adiponectin corresponds to change in body weight and body composition over time as well as  
287 response to anti-cachexic treatment. The increased levels of adiponectin within the control arm might  
288 be due to weight and muscle loss, which is also shown in cross-sectional studies comparing cachexic  
289 cancer patients to non-cachexic and healthy controls (30-32). In the intervention group the increased  
290 adiponectin levels might be a response to the intake of n-3 PUFAs (33, 34). Further studies  
291 investigating the role of adipokines in cancer cachexia are necessary as the direction and clinical  
292 meaning of change is not fully outlined.

293 Biomarkers may be related to parts of the intervention targeting cachexia e.g. they may provide  
294 information about contamination and compliance and might represent a relevant outcome. The  
295 nutritional intervention biomarkers (n-3 PUFAs and 25-hydroxyvitamin D) yielded the largest within  
296 and between group ESs corresponding to intake of the ONS. The moderate increase in EPA also  
297 within the control group may be explained by contamination if patients start taking supplements or  
298 mimic parts of the intervention (7). In unblinded RCT designs with nutrition and exercise  
299 interventions, outcome measures of compliance and contamination are important to be able to assess  
300 risk of bias.

301 In this study we estimated sensitivity to change and between treatment ESs from a pilot study. Albeit  
302 underpowered and not designed to compare the efficacy of an intervention, pilot studies are  
303 considered legitimate to estimate sample sizes. Still, caution is advised as estimates might be biased  
304 or unrealistic due to chance factors related to the small sample size (35). Our results revealed that  
305 >300 participants were needed per arm to detect an ES of 0.2 for skeletal muscle mass index, which  
306 are numbers comparable to the numbers of participants included in other cachexia trials with lean  
307 body mass and HGS as co-primary outcomes (24). The ongoing phase III MENAC trial is powered  
308 on body weight with a moderate  $ES_{BG}$  (0.5) as main outcome including 90 completed patients per  
309 arm (8). In parallel arm RCTs, the between group analysis is the correct analysis approach (36). In  
310 this secondary analysis we also analyzed within group ESs to estimate sensitivity to change of the  
311 various outcomes explored as it can be informative when choosing the most appropriate outcomes.  
312 Evaluation of the control group receiving standard care, which to a certain extent also is anti-  
313 cachexia treatment, is consequently of importance.

314 In conclusion, bodyweight remains a clinical and relevant outcome measure in cancer cachexia, as  
315 body composition measures, HGS and some circulation biomarkers demand large sample sizes to  
316 detect differences. So far, research has not been able to demonstrate superiority for any measure of  
317 body composition or specific biomarkers although clearly, these are important to address in order to



318 understand the underlying pathophysiology of weight loss in cancer cachexia. Research in cancer  
319 cachexia still needs to address both testing of treatments and evaluation of relevant outcomes until an  
320 evidence-based consensus on what to measure is reached.

321 **Conflict of interest**

322 The authors have no conflict of interest associated with this manuscript.

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**Table 1. Baseline characteristics**

	Treatment group (n=22)	Control group (n=18)	416 417
Gender, male (n)	14	10	418
Age (years)	60 (8)	60 (9)	419 420
Cancer type (n):			421
Pancreas stage III	4	4	422
Pancreas stage IV	5	5	
NSCLC stage III	2	2	423
NSCLC stage IV	12	7	424
Karnofsky performance status (score)	87 (11)	87 (8)	425 426
Body mass index (kg/m <sup>2</sup> )	24 (4.4)	23.9 (2.4)	427 428
Weight loss last six months (n)			429
≥10%	7	4	
≥ 5-10%	5	6	430
0-5%	5	4	431
Weight gain	1	2	432
Stable weight	4	2	

Data are given as mean (SD)

n indicates number of individuals

433 **Table 2. Changes in outcome measures according to treatment group**

		Treatment group (n=22)	Δ	Post-Pre Effect size WG <sup>1</sup>	Control group (n=18)	Δ	Post-Pre Effect size WG <sup>1</sup>	Between- groups Effect size BG <sup>2</sup>	p**
<b>Body mass</b>									
Bodyweight, kg	T0	70.5 (13.6)	1.0 (2.5)	0.40	67.1 (9.8)	-2.1 (2.5)	-0.84	<b>1.2</b>	<b>p&lt;0.001</b>
	T2	71.5 (14.0)			64.9 (9.9)				
		p*=0.08			<b>p*=0.002</b>				
<b>Body composition<sup>a</sup></b>									
Visceral adipose area (VAT) cm <sup>2</sup>	T0	108.4 (67.6)	0.4 (26.2)	0.02	99.9 (65.2)	- 5.1 (19.4)	-0.26	0.22	p=0.53
	T2	108.8 (66.1)			94.9 (55.9)				
		p*=0.95			p*=0.37				
Subcutaneous adipose area (SAT) cm <sup>2</sup>	T0	182.3 (114.5)	- 5.9 (36.7)	-0.16	160.6 (70.7)	- 11.2 (28.7)	-0.39	0.15	p=0.67
	T2	176.4 (108.5)			149.4 (64.5)				
		p*=0.51			p*=0.19				
Ratio VAT/SAT	T0	0.7 (0.6)	0.03 (0.3)	0.10	0.7 (0.5)	- 0.03 (0.2)	-0.15	0.25	p=0.48
	T2	0.7 (0.5)			0.7 (0.4)				
		p*=0.66			p*=0.48				
Total adipose area, cm <sup>2</sup>	T0	290.7 (154.0)	-5.5 (56.7)	-0.10	260.5 (99.9)	- 16.3 (39.1)	-0.42	0.21	p=0.56
	T2	285.2 (149.5)			244.3 (93.7)				

## Outcome measures in cancer cachexia

		p*=0.69			p*=0.16				
Total adipose index, cm <sup>2</sup> /m <sup>2</sup>	T0	99.5 (52.7)	-2.1 (19.8)	-0.11	93.3 (36.5)	- 5.9 (14.0)	-0.42	0.21	p=0.56
	T2	97.4 (51.2)			87.4 (34.2)				
		p*=0.65			p*=0.16				
Skeletal muscle mass index, cm <sup>2</sup> /m <sup>2</sup>	T0	45.9 (8.9)	-1.0 (3.8)	-0.26	45.7 (8.6)	-1.8 (2.7)	<b>-0.67</b>	0.26	p=0.42
	T2	45.0 (9.2)			43.9 (9.4)				
		p*=0.19			<b>p*=0.016</b>				
<b>Physical function<sup>b</sup></b>									
Hand grip strength (kg)	T0	35.6 (11.2)	-0.6 (7.1)	-0.08	32.3 (12.5)	-0.8 (5.0)	-0.17	0.03	p=0.93
	T2	35.1 (9.8)			31.5 (12.4)				
		p*=0.72			p=0.55				
<b>Biological mediators</b>									
CRP (mg/dL) <sup>c</sup>	T0	31.8 (32.3)	-14.1 (37.9)	0.37	15.5 (21.5)	2.6 (19.6)	-0.13	0.53	p=0.12
	T2	17.7 (26.0)			18.1 (25.8)				
		p*=0.14			p*=0.62				
Adiponectin (µg/mL)	T0	11.5 (4.3)	1.2 (1.4)	<b>0.86</b>	10.0 (3.9)	1.6 (2.9)	0.55	0.16	p=0.63
	T2	12.7 (4.6)			11.6 (3.5)				
		<b>p*=0.001</b>			<b>p*=0.04</b>				
ZAG (µg/mL)	T0	55.1 (30.4)	1.1 (16.5)	0.07	47.5 (23.7)	1.4 (12.1)	0.12	0.02	p=0.96
	T2	56.3 (26.5)			48.8 (24.1)				
		p*=0.75			p*=0.64				

## Outcome measures in cancer cachexia

IGF-1 (nmol/L) <sup>d</sup>	T0	20.1 (8.0)	0.5 (5.8)	0.09	16.6 (7.9)	0.3 (7.0)	0.04	0.03	p=0.94
	T2	20.5 (8.0)			16.9 (8.7)				
		p*=0.70			p*=0.84				
Glycerol $\mu$ mol/L <sup>e</sup>	T0	149.9 (67.7)	-0.2 (63.7)	-0.003	148.7 (78.4)	12.4 (97.6)	0.13	0.15	p=0.63
	T2	149.7 (63.1)			161.1 (79.6)				
		p*=0.99			p*=0.61				
Lipolytic activity <sup>f,g</sup>	T0	1.8 (2.0)	0.8 (3.0)	0.27	1.7 (2.1)	0.8 (2.6)	0.31	-0.0006	p=0.99
	T2	2.6 (4.7)			2.5 (4.6)				
		p*=0.27			p*=0.30				
<b>Nutrient components</b>									
EPA (% of total fatty acids in plasma PL)	T0	1.6 (0.9)	2.1 (2.2)	<b>0.95</b>	1.1 (0.4)	0.6 (0.8)	0.75	<b>0.86</b>	<b>p=0.006</b>
	T2	3.7 (2.3)			1.7 (0.8)				
		<b>p*&lt;0.001</b>			<b>p*=0.009</b>				
DHA (% of total fatty acids in plasma PL)	T0	4.3 (1.3)	1.1 (1.3)	<b>0.85</b>	4.6 (1.7)	0.4 (1.0)	0.40	0.64	<b>p=0.046</b>
	T2	5.5 (1.8)			5.0 (2.0)				
		<b>p*=0.001</b>			p*=0.13				
DPA (% of total fatty acids in plasma PL)	T0	1.0 (0.3)	0.6 (0.7)	<b>0.86</b>	0.9 (0.2)	0.1 (0.2)	0.50	<b>0.97</b>	<b>p=0.002</b>

## Outcome measures in cancer cachexia

	T2	1.6 (0.8)			1.0 (0.2)				
		<b>p*=0.001</b>			<b>p*=0.069</b>				
25(OH)D (nmol/L)	T0	36.1 (20.0)	3.6 (7.4)	0.49	44.9 (25.4)	-7.5 (8.5)	<b>-0.88</b>	<b>1.37</b>	<b>p&lt;0.001</b>
	T2	39.7 (20.5)			37.4 (20.3)				
		<b>p*=0.03</b>			<b>p*=0.002</b>				

434 Data are given as mean (SD); n indicates number of individuals; T0=baseline, T2=week six; Δ=differences between T0 and T2; CRP=C-reactive protein; PL=phospholipids;  
 435 EPA=eicosapentanoic acid; DHA=docosahexanoic acid; DPA=docosapentaenoic acid; ZAG=zink-α2 glycoprotein; IGF-1=insulin-like growth factor 1;25(OH)D=25-  
 436 hydroxyvitamin D; p\* within groups between T0 and T2. Paired sample T Test; p\*\* Δ between groups. Student T test; <sup>a</sup>n=18 in treatment group, n=13 in control group for  
 437 adipose tissue variables, n=17 in control and n=22 in treatment arm for muscle mass index; <sup>b</sup>n=22 in treatment group, n=17 in control group <sup>c</sup>n=15 in control group, n=17 in  
 438 the treatment group; <sup>d</sup>n=21 in treatment group; <sup>e</sup>n=17 in control group; <sup>f</sup>indirect in vivo lipolytic activity was assessed by serum glycerol (μmol/L) divided by total adipose  
 439 index (cm<sup>2</sup>/m<sup>2</sup>); <sup>g</sup>n=12 in control group, n=18 in the treatment group; <sup>1</sup>Cohen's d for one sample pre-post design; <sup>2</sup>Hedges's g for two independent sample design; WG=within  
 440 groups; BG=between groups



441

442 **Figure 1. Sample size per treatment arm by effect size values**

443

444 *<Figure 1 is submitted individually. Figure legend below*

445

446 **Figure 1.** Sample size by treatment arm by effect size (ES) values (black curve). Dashed vertical  
447 lines indicate reference value for small ( $<0.2$ ), medium ( $<0.5$ ) and large ( $>0.8$ ) ESs (17). Colored  
448 vertical lines indicate  $ES_{BG}$  for each outcome measure: body weight (orange,  $n=1$ ), body composition  
449 (blue,  $n=6$ , two overlap, one overlaps with metabolism outcome), physical function (black,  $n=1$ ),  
450 metabolic mediators (pink  $n=6$ , two overlap) and nutrient components (green,  $n=4$ ) (exact values are  
451 reported in Table 2). Sample size values for  $ES <0.2$  are higher than 1000 and not shown in the  
452 figure.