

# FREE NILPOTENT GROUPS ARE $C^*$ -SUPERRIGID

TRON OMLAND

ABSTRACT. The free nilpotent group  $G_{m,n}$  of class  $m$  and rank  $n$  is the free object on  $n$  generators in the category of nilpotent groups of class at most  $m$ . We show that  $G_{m,n}$  can be recovered from its reduced group  $C^*$ -algebra, in the sense that if  $H$  is any group such that  $C_r^*(H)$  is isomorphic to  $C_r^*(G_{m,n})$ , then  $H$  must be isomorphic to  $G_{m,n}$ .

## INTRODUCTION

Group  $C^*$ -algebras play an important role in the theory of operator algebras. A natural question to ask, yet not much studied, is to what extent a group can be recovered from its (reduced) group  $C^*$ -algebra. The analog problem for group von Neumann algebras has received some attention in the last few years, but to this day there are less than a handful of results available, the first one presented in [4]. A group  $G$  is called  $W^*$ -superrigid if it can be recovered from its group von Neumann algebra  $L(G)$ , that is, if  $H$  is any group such that  $L(H) \cong L(G)$ , then  $H \cong G$ . The group von Neumann algebra of any nontrivial countable amenable group with infinite conjugacy classes is isomorphic to the hyperfinite  $\text{II}_1$  factor, so in general, much of the group structure is lost in the construction. However, examples of  $W^*$ -superrigid groups are known to exist, in particular, some classes of generalized wreath products [4, 1] and amalgamated free products [2].

Inspired by this terminology, a group  $G$  is said to be  $C^*$ -superrigid if  $C_r^*(H) \cong C_r^*(G)$  implies that  $H \cong G$ . It has been known for some time that torsion-free abelian groups are  $C^*$ -superrigid [7], and only very recently, it was shown that certain torsion-free virtually abelian groups, so-called Bieberbach groups, are  $C^*$ -superrigid [5], providing the first result for nonabelian groups. In a somewhat different direction, specific examples of amalgamated free products were proven to be  $C^*$ -superrigid in [2], including a continuum of groups that can contain torsion. Returning to the amenable situation, it is conjectured that all finitely generated torsion-free nilpotent groups are  $C^*$ -superrigid, and important progress towards solving this problem was made in [3], where the authors gave a positive answer in the case of nilpotency class 2.

We remark that there is no known example of a torsion-free group that is not  $C^*$ -superrigid. For more background on the topic, see [5, 3] and references therein.

In this short note, we show that also the free nilpotent groups are  $C^*$ -superrigid.

## 1. PRELIMINARIES AND VARIOUS RESULTS

Let  $G$  be a discrete group. As usual,  $C^*(G)$  denotes the full group  $C^*$ -algebra of  $G$ , and we let  $g \mapsto u_g$  be the canonical inclusion of  $G$  into  $C^*(G)$ . The left regular representation  $\lambda$  of  $G$  on  $\ell^2(G)$  is given by  $\lambda_g \delta_h = \delta_{gh}$  for all  $g, h \in G$ , and the reduced group  $C^*$ -algebra  $C_r^*(G)$  of  $G$  is the  $C^*$ -subalgebra of  $B(\ell^2(G))$  generated by the image of  $\lambda$ . It follows that  $\lambda$

---

*Date:* April 24, 2019.

*2010 Mathematics Subject Classification.* 46L05, 20F18.

*Key words and phrases.*  $C^*$ -superrigidity, free nilpotent group.

The author is funded by the Research Council of Norway through FRINATEK, project no. 240913.

induces a homomorphism of  $C^*(G)$  onto  $C_r^*(G)$ , mapping  $u_g$  to  $\lambda_g$  for all  $g \in G$ . Moreover, it is well-known that if  $C^*(G) \cong C_r^*(G)$ , then  $\lambda$  must be faithful, and in this case,  $G$  is called amenable, and we use  $\lambda$  to identify  $C^*(G)$  with  $C_r^*(G)$ .

The subgroup  $G'$  of  $G$  generated by all the elements  $ghg^{-1}h^{-1}$  for  $g, h \in G$  is called the commutator (or derived) subgroup of  $G$ . It is normal in  $G$ , and the quotient  $G_{\text{ab}} = G/G'$  is an abelian group, called the abelianization of  $G$ . The group  $G_{\text{ab}}$  is the largest abelian quotient of  $G$ , that is, whenever  $N$  is a normal subgroup of  $G$  and  $G/N$  is abelian,  $G' \subseteq N$ .

Let  $\tilde{\pi}_{\text{ab}}: C^*(G) \rightarrow C^*(G_{\text{ab}})$  denote the homomorphism induced by the quotient map  $\pi_{\text{ab}}: G \rightarrow G_{\text{ab}}$ . Note that  $\pi_{\text{ab}}$  induces a map  $C_r^*(G) \rightarrow C_r^*(G_{\text{ab}}) = C^*(G_{\text{ab}})$  if and only if  $G'$ , or equivalently,  $G$  is amenable.

For a  $C^*$ -algebra  $A$ , the commutator ideal  $\mathcal{J}$  of  $A$  is the ideal generated by all elements  $xy - yx$  for  $x, y \in A$ . Let  $\phi: A \rightarrow A/\mathcal{J}$  denote the quotient map. The Gelfand spectrum  $\Gamma_A$  of  $A$  is given by

$$\Gamma_A = \{\text{nonzero algebra homomorphisms } \gamma: A \rightarrow \mathbb{C}\}.$$

For every  $\gamma \in \Gamma_A$ , we clearly have  $\gamma(xy - yx) = 0$  for all  $x, y \in A$ , and thus  $\mathcal{J} \subseteq \ker \gamma$ . If  $\rho \in \Gamma_{A/\mathcal{J}}$ , then  $\rho \circ \phi$  belongs to  $\Gamma_A$ , and every  $\gamma \in \Gamma_A$  defines an element  $\rho \in \Gamma_{A/\mathcal{J}}$  given by  $\rho(x + \mathcal{J}) = \gamma(x)$ . Together, this gives that  $\Gamma_{A/\mathcal{J}} = \Gamma_A$ . Moreover, if  $x \notin \mathcal{J}$ , then  $0 \neq \phi(x) \in A/\mathcal{J}$ , which is a commutative  $C^*$ -algebra, so there exists  $\rho \in \Gamma_{A/\mathcal{J}}$  such that  $\rho(\phi(x)) \neq 0$ . That is,  $x \notin \ker \rho \circ \phi$ , and as explained above,  $\rho \circ \phi \in \Gamma_A$ . We conclude that

$$(1) \quad \mathcal{J} = \bigcap_{\gamma \in \Gamma_A} \ker \gamma.$$

**Lemma 1.1.** *The commutator ideal  $\mathcal{J}$  of  $C^*(G)$  coincides with the kernel of  $\tilde{\pi}_{\text{ab}}$ .*

*Proof.* First, since  $C^*(G_{\text{ab}})$  is commutative,  $\ker \tilde{\pi}_{\text{ab}}$  must contain all commutators in  $C^*(G)$ , and thus  $\mathcal{J} \subseteq \ker \tilde{\pi}_{\text{ab}}$ . Next, we note that

$$\Gamma_{C^*(G_{\text{ab}})} = \text{Hom}(G_{\text{ab}}, \mathbb{T}) = \text{Hom}(G, \mathbb{T}) = \Gamma_{C^*(G)}.$$

The second identification is given by  $\chi' \mapsto \chi' \circ \pi_{\text{ab}}$  for  $\chi' \in \text{Hom}(G_{\text{ab}}, \mathbb{T})$ , and the inverse by  $\chi \mapsto \chi'$  for  $\chi \in \text{Hom}(G, \mathbb{T})$ , where  $\chi'(g + G') = \chi(g)$ . The last identification is the usual integrated form, with inverse  $\gamma \mapsto \chi$  for  $\gamma \in \Gamma_{C^*(G)}$ , where  $\chi(g) = \gamma(u_g)$ ; and the first equality is similar. Combined, the first and last space is identified via  $\gamma' \mapsto \gamma' \circ \tilde{\pi}_{\text{ab}}$  for  $\gamma' \in \Gamma_{C^*(G_{\text{ab}})}$ .

Thus, if  $x \notin \mathcal{J}$ , then by (1) there is  $\gamma \in \Gamma_{C^*(G)}$  such that  $\gamma(x) \neq 0$ . Since  $\gamma = \gamma' \circ \tilde{\pi}_{\text{ab}}$  for some  $\gamma' \in \Gamma_{C^*(G_{\text{ab}})}$ , we have  $\gamma'(\tilde{\pi}_{\text{ab}}(x)) \neq 0$ , and hence  $x \notin \ker \tilde{\pi}_{\text{ab}}$ .  $\square$

The following result is due to [7].

**Proposition 1.2.** *Suppose that  $G$  is torsion-free and abelian and let  $H$  be any group such that  $C^*(H) \cong C^*(G)$ . Then  $H \cong G$ .*

**Corollary 1.3.** *If  $H$  is any group such that  $C^*(H) \cong C^*(G)$ , then  $C^*(H_{\text{ab}}) \cong C^*(G_{\text{ab}})$ . In particular, if  $G_{\text{ab}}$  is torsion-free, then  $H_{\text{ab}} \cong G_{\text{ab}}$ .*

*Proof.* Any isomorphism  $C^*(H) \cong C^*(G)$  takes the commutator ideal of  $C^*(H)$  to the commutator ideal of  $C^*(G)$ , and thus, the quotients  $C^*(H_{\text{ab}})$  and  $C^*(G_{\text{ab}})$  must be isomorphic. The last statement now follows from Proposition 1.2.  $\square$

The upper central sequence of  $G$ , denoted  $Z_0 \subset Z_1 \subset Z_2 \subset \dots$ , is defined by  $Z_0 = \{e\}$ ,  $Z_1 = Z(G)$ , and for all  $i \geq 0$ ,

$$Z_{i+1} = \{g \in G : [g, h] \in Z_i \text{ for all } h \in G\}.$$

In particular, we remark that  $Z_i$  is a normal subgroup of  $Z_{i+1}$  and  $Z_{i+1}/Z_i = Z(G/Z_i)$  for all  $i \geq 0$ . If there exists an  $m$  such that  $G = Z_m$ , then  $G$  is called a nilpotent group, and the smallest such  $m$  is said to be the class of  $G$ .

**Lemma 1.4.** *Suppose that  $G$  is a nilpotent group and let  $S \subseteq G$  be a set such that  $\pi_{\text{ab}}(S)$  generates  $G_{\text{ab}}$ . Then  $S$  generates  $G$ .*

*Proof.* Let  $m$  be the nilpotency class of  $G$ , and let  $\{e\} = Z_0 \subset Z_1 \subset \cdots \subset Z_{m-1} \subset Z_m = G$  be the upper central series of  $G$ . Denote by  $H$  the subgroup of  $G$  generated by  $S$ . For  $0 \leq i \leq m$ , set  $H_i = HZ_i$ . Then  $H_i$  is a subgroup of  $G$  and a normal subgroup of  $H_{i+1}$  for all  $i$ . Indeed, for  $h, h' \in H$ ,  $z_i, z'_i \in Z_i$ ,

$$(h'z_i)(hz'_i) = h'hz_i[z_i^{-1}, h^{-1}]z'_i \in HZ_iZ_{i-1}Z_i = HZ_i = H_i$$

since  $[z_i^{-1}, h^{-1}] \in Z_{i-1}$ . Moreover, for  $h, h' \in H$ ,  $z_i, z'_i \in Z_i$ , and  $z_{i+1} \in Z_{i+1}$ ,

$$(hz_{i+1})(h'z_i)(hz_{i+1})^{-1} = h[z_{i+1}, h']h'z_i[z_i^{-1}, z_{i+1}]h^{-1} \in HZ_iHZ_iZ_{i-1}H = H_i.$$

If  $H \neq G$ , there would exist some  $0 \leq k < m$  such that  $H_k \neq G$  and  $H_{k+1} = G$ . Then

$$G/H_k = H_{k+1}/H_k = HZ_{k+1}/HZ_k \cong Z_{k+1}/Z_k,$$

where the last identification is the second isomorphism theorem, and the last quotient is abelian. Thus,  $H_k$  contains the commutator subgroup  $G'$ , and therefore also  $HG'$ . Since  $\pi_{\text{ab}}(H) = G_{\text{ab}}$ , then  $HG'$  equals  $G$ , so

$$G = HG' \subseteq H_k \subsetneq G,$$

which is a contradiction. Hence, we conclude that  $H = G$ .  $\square$

Note that the abelianization of a torsion-free nilpotent group is not necessarily torsion-free, so in general, we do not know if it can be recovered from its group  $C^*$ -algebra. E.g., consider the index 2 subgroup of the Heisenberg group given by

$$G = \begin{pmatrix} 1 & 2\mathbb{Z} & \mathbb{Z} \\ 0 & 1 & \mathbb{Z} \\ 0 & 0 & 1 \end{pmatrix}.$$

The abelianization of  $G$  is  $\mathbb{Z}^2 \oplus (\mathbb{Z}/2\mathbb{Z})$ , and all generating sets of  $G$  have at least three elements. This is in contrast to the Heisenberg group itself, whose abelianization is  $\mathbb{Z}^2$ , and which can be generated by two elements.

## 2. $C^*$ -SUPERRIGIDITY OF FREE NILPOTENT GROUPS

The free nilpotent group  $G_{m,n}$  of class  $m$  and rank  $n$  is the free object on  $n$  generators in the category of nilpotent groups of class at most  $m$ . It is defined by a set of generators  $\{g_i\}_{i=1}^n$  subject to the relations that all commutators of length  $m+1$  involving the generators are trivial, i.e.,  $[\cdots [[g_{i_1}, g_{i_2}], g_{i_3}] \cdots], g_{i_{m+1}}]$  is trivial for any choice of sequence of generators. For all  $m \geq 1$ , we have  $G_{m,1} \cong \mathbb{Z}$ , while  $G_{m,n}$  is an  $m$ -step nilpotent group for every  $n \geq 2$ . As an easy example, we mention that  $G_{2,2}$  is isomorphic to the Heisenberg group, and refer to [8, 6] for further details about free nilpotent groups.

The group  $G_{m,n}$  satisfies the following universal property: If  $H$  is any nilpotent group of class at most  $m$  and  $h_1, \dots, h_n$  are elements in  $H$ , there exists a unique homomorphism  $G_{m,n} \rightarrow H$  mapping  $g_i$  to  $h_i$  for all  $i$ .

The abelianization of  $G_{m,n}$  is isomorphic to  $\mathbb{Z}^n$  and  $\pi_{\text{ab}}$  maps  $g_i$  to the generator  $e_i$  of the  $i$ 'th summand of  $\mathbb{Z}^n$ .

The center  $Z(G_{m,n})$  of  $G_{m,n}$  is a free abelian group (its rank can be computed, but it is not relevant here), and for  $m, n \geq 2$  we have

$$(2) \quad G_{m,n}/Z(G_{m,n}) \cong G_{m-1,n},$$

as seen by mapping generators to generators.

**Lemma 2.1.** *Let  $m, n \geq 2$ , and let  $H$  be a nilpotent group of class at most  $m$  that can be generated by  $n$  elements. Suppose that  $H/Z(H) \cong G_{m-1,n}$ . Then  $H \cong G_{m,n}$ .*

*Proof.* The universal property of  $G_{m,n}$  means that there exists a surjective map  $\varphi: G_{m,n} \rightarrow H$ . Clearly,  $\varphi(Z(G_{m,n})) \subseteq Z(H)$ , and we set  $K = \varphi^{-1}(Z(H))$ . Consider the maps

$$G_{m,n}/Z(G_{m,n}) \rightarrow G_{m,n}/K \rightarrow H/Z(H),$$

given by  $aZ(G_{m,n}) \mapsto aK$  and  $aK \mapsto \varphi(a)Z(H)$ . The composition map  $\psi$  is surjective since  $\varphi$  is surjective. Since finitely generated nilpotent groups are Hopfian,  $G_{m-1,n} \cong G_{m,n}/Z(G_{m,n})$  does not have any proper quotient isomorphic to itself. Hence, the composition map  $\psi$  must be an isomorphism, and  $K = Z(G_{m,n})$ . We get the following commutative diagram

$$\begin{array}{ccccccccc} 1 & \longrightarrow & Z(G_{m,n}) & \xrightarrow{i} & G_{m,n} & \xrightarrow{q} & G_{m,n}/Z(G_{m,n}) & \longrightarrow & 1 \\ & & \varphi|_Z \downarrow \cong & & \downarrow \varphi & & \downarrow \psi \cong & & \\ 1 & \longrightarrow & Z(H) & \xrightarrow{i} & H & \xrightarrow{q} & H/Z(H) & \longrightarrow & 1 \end{array}$$

By the five lemma,  $\varphi$  is an isomorphism.  $\square$

**Theorem 2.2.** *For every pair of natural numbers  $m$  and  $n$ , the free nilpotent group  $G_{m,n}$  of class  $m$  and rank  $n$  is  $C^*$ -superrigid.*

*Proof.* The case  $n = 1$  is obvious, so let  $n \geq 2$ . We do this by induction on  $m$ . Note first that  $G_{1,n} \cong \mathbb{Z}^n$ , which is  $C^*$ -superrigid (see Proposition 1.2). Let  $m \geq 2$ , and suppose that  $G_{m-1,n}$  is  $C^*$ -superrigid. Let  $H$  be any group and assume that  $C^*(H) \cong C^*(G_{m,n})$ . It follows from [3, Theorem B] that  $H$  is a torsion-free nilpotent group of class  $m$ .

Moreover,  $C^*(H/Z(H)) \cong C^*(G_{m,n}/Z(G_{m,n}))$  by [3, Proof of Lemma 4.2], and (2) implies that the latter is isomorphic to  $C^*(G_{m-1,n})$ . By the induction hypothesis, the group  $G_{m-1,n}$  is  $C^*$ -superrigid, so  $H/Z(H) \cong G_{m-1,n}$ .

The abelianization of  $G_{m,n}$  is isomorphic to  $\mathbb{Z}^n$  and thus  $H_{\text{ab}} \cong \mathbb{Z}^n$  by Corollary 1.3. For each  $1 \leq i \leq n$ , choose an element  $s_i$  of  $H$  that is mapped to the generator  $e_i$  of  $\mathbb{Z}^n \cong H_{\text{ab}}$ . If  $S = \{s_i : 1 \leq i \leq n\}$ , then  $\pi_{\text{ab}}(S)$  generates  $H_{\text{ab}}$ , so  $S$  generates  $H$  by Lemma 1.4, i.e.,  $H$  can be generated by  $n$  elements.

Therefore, we apply Lemma 2.1 to conclude that  $H \cong G_{m,n}$ .  $\square$

## REFERENCES

- [1] Mihaita Berbec and Stefaan Vaes.  $W^*$ -superrigidity for group von Neumann algebras of left-right wreath products. *Proc. Lond. Math. Soc. (3)*, 108(5):1116–1152, 2014.
- [2] Ionut Chifan and Adrian Ioana. Amalgamated free product rigidity for group von Neumann algebras. *Adv. Math.*, 329:819–850, 2018.
- [3] Caleb Eckhardt and Sven Raum.  $C^*$ -superrigidity of 2-step nilpotent groups. *Adv. Math.*, 338:175–195, 2018.
- [4] Adrian Ioana, Sorin Popa, and Stefaan Vaes. A class of superrigid group von Neumann algebras. *Ann. of Math. (2)*, 178(1):231–286, 2013.
- [5] Søren Knudby, Sven Raum, Hannes Thiel, and Stuart White. On  $C^*$ -superrigidity of virtually abelian groups. In preparation.
- [6] Tron Omland.  $C^*$ -algebras generated by projective representations of free nilpotent groups. *J. Operator Theory*, 73(1):3–25, 2015.
- [7] Stephen Scheinberg. Homeomorphism and isomorphism of abelian groups. *Canad. J. Math.*, 26:1515–1519, 1974.
- [8] Terence Tao. The free nilpotent group. Lecture notes, available at <http://terrytao.wordpress.com/2009/12/21/the-free-nilpotent-group/>.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF OSLO, NO-0316 OSLO, NORWAY AND DEPARTMENT OF  
COMPUTER SCIENCE, OSLO METROPOLITAN UNIVERSITY, NO-0130 OSLO, NORWAY  
*Email address:* `trono@math.uio.no`