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7 **Iron deficiency is uncommon among lactating women in urban**  
8 **Nepal, despite high risk of inadequate dietary iron intake**

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30 receptor

31

### 32 ABSTRACT

33 The main objective was to describe dietary iron intake and dietary predictors of iron status and  
34 hemoglobin concentration among lactating women in Bhaktapur, Nepal. We included 500  
35 randomly selected lactating women in a cross sectional survey. Dietary information was obtained  
36 through three interactive 24-h recall interviews including personal recipes. Hemoglobin (Hb) and  
37 the plasma concentration of plasma ferritin and soluble transferrin receptors (TfR) were  
38 measured. The daily median iron intake from food was 17.5 mg and 70% of the women were at  
39 risk of inadequate iron intakes. Around 90% of women had taken iron supplements in pregnancy.  
40 The prevalence of anemia was 20% (Hb<12.3 g/dL) and the prevalence of iron deficiency was  
41 5% (plasma ferritin <15 µg/L). In multiple regression analyses there was a weak positive  
42 association between dietary iron intake and body iron [ $\beta$  (95% CI) 0.03 (0.014, 0.045)]. Among  
43 women with children <6 months, but not those with older infants, intake of iron supplements in  
44 pregnancy for at least 6 months was positively associated with body iron (P for interaction  
45 <0.01). Due to a relatively high dietary intake of non-haem iron combined with low  
46 bioavailability, a high proportion of the women in this study were at risk of inadequate intake of  
47 iron. The low prevalence of anemia and iron deficiency may be explained by the majority of the  
48 women consuming iron supplements in pregnancy.

## 49 INTRODUCTION

50 Iron deficiency is the most prevalent micronutrient deficiency globally. It is an important  
51 cause of anemia <sup>(1)</sup> which affects 42% of pregnant women and nearly a third of all non-pregnant  
52 women of reproductive age <sup>(2)</sup>. Low dietary iron content, often combined with low bioavailability  
53 from plant-based diets are important causes of iron deficiency, especially in pregnant women  
54 who have increased iron requirements<sup>(3)</sup>.

55 The degree of iron absorption depends on the form of iron consumed and by the presence  
56 of dietary enhancers or inhibitors of absorption. Highly bioavailable haem iron is likely to be  
57 consumed infrequently and in small amounts by people in resource-poor settings. The majority  
58 of dietary iron in such countries is non-haem , which is often poorly absorbed due to the presence  
59 of dietary inhibitors such as phytate and tannins.

60 The present study was carried out in Bhaktapur municipality in semi-urban communities  
61 in the Kathmandu valley. A previous survey in this population reported an anemia prevalence of  
62 12% and that 54% consumed inadequate amounts of iron<sup>(4)</sup>. The Demographic Health Survey  
63 (DHS) from 2011 showed that 39% of the lactating women in Nepal (15-49 years old) were  
64 anemic <sup>(5)</sup>. Another study among pregnant women in the southeastern plains of Nepal, reported  
65 that dietary haem iron intake was significantly associated with lower risk for iron deficiency  
66 without anemia<sup>(6)</sup>. However, few studies in developing country settings have related measures of  
67 dietary intake to biochemical measures of iron status <sup>(7)</sup>. Furthermore, a systematic review on  
68 dietary micronutrient intakes of women in resource poor settings concluded that there is a need  
69 for more documentation of the risk of inadequate micronutrient intakes among women living in  
70 low-income settings<sup>(8)</sup>.

71 The main objective of this paper is to describe the dietary iron intake and dietary  
72 predictors of iron status and hemoglobin concentration in a representative sample among  
73 lactating women in Bhaktapur, Nepal.

## 74 METHODS

### 75 *Study Area and Population*

76 A cross-sectional survey was carried out among 500 randomly selected healthy lactating women  
77 (17-44 years old) from Bhaktapur municipality, Nepal. Bhaktapur is an urban area located 15 km  
78 east of the capital Kathmandu and was chosen because of the socio-economic diversity of this

79 population which gave us a unique opportunity to explore dietary variation. It has a total  
80 population of approximately 75,000, predominantly of the Newari ethnic group, and mostly  
81 farmers, semi-skilled or unskilled laborers and daily wage earners.

82

### 83 *Study design and participants*

84 From a public health perspective, we wanted to detect deficiencies of micronutrients such as  
85 zinc, iron and vitamin B12 with a prevalence of >25%. It was calculated that four hundred and  
86 fifty mother would be adequate to detect this prevalence with an absolute precision of 4% i.e.  
87 with a 95% confidence interval from 21%-29%. Assuming incomplete sampling from  
88 approximately 10% of these women we calculated a final desired sample size of 500 women. In  
89 the first stage of sampling we used a population proportionate to size method to select 66 of 160  
90 geographic areas (*toles*). In the second stage, we obtained census lists of all women living in the  
91 66 toles and selected randomly from these lists. We had to approach 582 women in order to enrol  
92 500 women in the study (Figure 1). A total of 500 lactating (encompassing both exclusive and  
93 partial) women were enrolled in the study and completed the first 24-hour dietary recall. Due to  
94 dropouts, the sample sizes for the second and third 24-h recalls were 487 and 477, respectively.  
95 Eleven women were excluded due to errors in the interactive 24-h recalls and the final sample  
96 size consisted of 466 lactating women who had completed three 24-h recalls.

97 Women came to the hospital to receive physical examinations, dietary interviews, and  
98 blood draws. The first woman was enrolled in January 2008, and the last in February 2009. The  
99 inclusion criteria were that they were lactating, had no self-reported on-going infections, and  
100 were able to provide household information. Women with anemia ( $Hb < 12.3$  g/dL) were offered  
101 free treatment with iron supplements according to the national guidelines. All women gave  
102 written informed consent before start of the study which was approved by the ethical review  
103 board of the Institute of Medicine, Tribhuvan University. Women were classified as literate if  
104 they could read and write, and illiterate if they could do neither or only one of the two. Schooling  
105 was defined as: Primary school (1<sup>st</sup> -3<sup>rd</sup> grade); Secondary school (4<sup>th</sup> -10<sup>th</sup> grade); School  
106 Leaving Certificate, Intermediate school, or Bachelor degree.

107

108

## 109 *Dietary Assessment*

110           Nepali speaking, trained fieldworkers performed the interactive 24-h recalls and each  
111 woman participated in three interactive 24-h recalls<sup>(9)</sup>. Every fieldworker in this survey received  
112 training by a dietician for a period of two months. The fieldworkers were trained in interview  
113 techniques, how to use the electronic scales, how to estimate the volume of different foods, how  
114 to collect recipes, how to use the food codes, how to handle difficult situations and how to  
115 calibrate the weights before every interview. Fieldworkers were trained as a group but also  
116 practiced 24-h recalls on each other and on at least five women from the community prior to  
117 conducting recalls for the study. To ensure that the days represented normal intake and to  
118 minimize interviewer biases, recalls were obtained representing three different weekdays with 2-  
119 11 days separating each recall period and by three different fieldworkers. Saturdays (weekends)  
120 were excluded. The 24-h recalls were collected during one year covering the seasonal variation  
121 in food supply across participants in the study area. The same procedure was used to collect 24-h  
122 recalls for each of the three days: First, the women were asked to name all the food and drinks  
123 consumed during the preceding day, including anything consumed outside the home and time of  
124 consumption. Second, they were asked to describe ingredients and cooking methods for each  
125 recipe. Third, amounts of the foods and dishes were estimated using an electronic scale (Philips  
126 scales) with a precision of 1 g and a maximum capacity of 5 kg. The scales were calibrated daily.  
127 Cooked rice was used for estimating the volume of rice, vegetable stew (tarkari) and pickles and  
128 water was used to estimate the volume of lentils (*dal*). Fresh vegetables were used to measure the  
129 size and quantity of vegetables in the recipes. The amounts of meat, fish, bread and fruits were  
130 estimated by food models and pictures made exclusively for this study according to Gibson<sup>(9)</sup>.  
131 Clay models were used for estimating the portions of meat and fish, wooden models were used  
132 for bread whereas pictures were used for estimating the amounts of fruits consumed. Finally, the  
133 women were asked to recall snacks (foods consumed between meals) during the last 24 hours  
134 from a list of snacks, made especially for this study. The women's personal recipes were  
135 collected. Standard recipes were made for tea, spices (masala), lentils, bread, vegetable stew  
136 (tarkari) and pickle and were used when the women had bought ready-made food or when the  
137 food had been eaten at someone else's place. The standard recipes were developed from the  
138 collection of recipes in a pilot study and the average of the ingredients from at least 12 recipes  
139 for each dish was calculated. Information on consumption of fortified foods was not collected.

140 Most of the fortified food available in the area were designed for infants and preschool children  
141 and were thus not commonly consumed by adults.

142

### 143 *Iron supplements in pregnancy*

144 Information on consumption of iron supplements in pregnancy were collected through  
145 questionnaires. The women were encouraged at the hospital to take iron supplements during  
146 pregnancy and bought iron supplements from the hospital or in local drug stores. In the  
147 questionnaire the women were asked for how many months they consumed iron supplements in  
148 pregnancy and in what trimester they started. Information on consumption frequency, dosage or  
149 brand name were not collected, and henceforth iron supplement intake was not included in the  
150 analysis of iron intake. The common practice for provision of iron supplements was 60 mg of  
151 elemental iron sulfate from second trimester of pregnancy.

152

### 153 *Nutrient Analysis*

154 Because there is no standard Food Composition Table (FCT) available for Nepal, a FCT was  
155 compiled for this study “Composite Bhaktapur food composition table”. In this FCT, nutrient  
156 values and foods were borrowed from World Food 2 (WF) <sup>(10)</sup>, the “Nutritive Value of Indian  
157 Foods” <sup>(11)</sup>; and, where necessary, from Thai FCT <sup>(12)</sup> or US FCT <sup>(13)</sup>. The three 24-h dietary  
158 recalls and the “Composite Bhaktapur food composition table” were entered in nutrient analysis  
159 software designed exclusively for this study. The source of phytate data was the Indian Food  
160 Composition Table <sup>(11)</sup>. Intake of haem iron was calculated based on the assumption that haem  
161 iron makes up 40% of the iron in meat, poultry or fish. The usual intake distributions were  
162 calculated by the Multiple Source Method (MSM), which is characterized by a two-part  
163 shrinkage technique applied to residuals of two regression models, one for the positive daily  
164 intake data and one for the event of consumption <sup>(14, 15)</sup>.

165

### 166 *Estimation of adequacy of dietary iron intake*

167 Estimation of dietary iron availability in this population was done according to Murphy et al <sup>(16)</sup>,  
168 using quantitative data on intake of haem iron, non-haem iron, coffee and tea, as well as the  
169 amount of ascorbic acid and protein from meat, fish and poultry per 1000 kcal consumed. The  
170 average bioavailability of dietary iron intake in this population was calculated to be 4.5%. The

171 bioavailability of iron was also calculated according the algorithm developed by Bhargava and  
172 colleagues<sup>(17)</sup>. This algorithm includes estimation of iron stores, intake of fish and meat, ascorbic  
173 acid, phytate, non-haem - and haem iron, and is developed for Bangladeshi women, a population  
174 that might be equaled to our population. This algorithm gave an estimated average bioavailability  
175 of 1.8 %. WHO recommends use of calculation of 5 or 10% iron absorption in developing  
176 countries, depending on the diet <sup>(18)</sup>. We therefore used the 5% bioavailability assumption for the  
177 evaluation of the iron intake in this study.

178 The FAO/WHO's Estimated Average Requirements (EAR) and Recommended Nutrient Intake  
179 (RNI) 2004 were used to evaluate the nutritional adequacy of the women's diets depending on  
180 the time post-partum. The RNI for iron for lactating women (0-3 months) and women 19-50  
181 years is 30mg and 58.8mg when the bioavailability is 5%. The risk of inadequate iron intake for  
182 women who had been lactating for less than three months was classified using the following  
183 definitions: Very high risk (average dietary iron intake below the EAR), moderate risk (average  
184 dietary iron intake between the EAR and the RNI), and low risk (average dietary iron intake  
185 higher than the RNI) <sup>(8)</sup>. A full probability approach was used <sup>(19)</sup>, estimating the risk of  
186 inadequate iron intakes as a total product of the probability of inadequacy for a given range  
187 intake multiplied with the percentage of women with intakes in that range.

188

### 189 *Anthropometric measurement*

190 Measurements of weight of the women were conducted by using a UNICEF weighing  
191 scale (Salter, SECA, Germany). The height was measured with a locally made board in the clinic  
192 and calibrated weekly. Maternal body mass index (BMI) was calculated as  $\text{kg/m}^2$ . BMI < 18.5 was  
193 considered underweight while  $18.5 > \text{BMI} < 25$  was considered normal weight, and  $\text{BMI} \geq 25$  was  
194 considered overweight<sup>(20)</sup>.

195

### 196 *Laboratory tests*

197 During the first hospital visit, the first of the three 24 hours recalls was performed and a  
198 venous blood sample was collected from a cubital vein into a micronutrient-free, heparinized  
199 polypropylene tube (Sarstedt, Germany). The hemoglobin concentration was immediately  
200 measured by HemoCue (Vedbæk, Denmark) <sup>(21)</sup> which was regularly calibrated as  
201 recommended by the manufacturer. After centrifuging the sample at  $760 \times g$  for 10 min in room

202 temperature, plasma was transferred to micronutrient-free polypropylene vials (Eppendorf, Hinz,  
203 Germany) which were stored at -70 °C before transport on dry ice to Norway, and further stored  
204 at - 80 °C until analysis was performed at Laboratory of Clinical Biochemistry, Haukeland  
205 University Hospital, Bergen. The plasma concentration of the biochemical components was  
206 determined on a Modular Analytics System by Roche Diagnostics (Roche Diagnostics GmbH,  
207 Mannheim, Germany) with the analytical coefficient of variation (CV) being 5% for each test.  
208 Plasma ferritin was analysed by an electrochemiluminescence immunoassay (ECLIA), while the  
209 soluble transferrin receptor (TfR) was analysed by immunoturbidimetry.

210

#### 211 *Cut-off limits for the analytical test*

212 Adjusted for altitude, anemia in this population was defined as hemoglobin <12.3 g/dl  
213 <sup>(22)</sup>. Mild anemia was defined as hemoglobin between 10.3-12.2 g/dL, moderate as 7.3-10.2 g/dL,  
214 and severe as hemoglobin less than 7.3 g/dL <sup>(22)</sup>. Iron deficiency, expressed as depleted iron  
215 stores, was defined by plasma ferritin <15 µg/L<sup>(1)</sup>. Increased need of iron in erythropoietic bone  
216 marrow and peripheral tissues was defined as TfR >4.4 mg/L <sup>(23)</sup>. As increased concentration of  
217 C-reactive protein (CRP) is a sensitive marker of inflammation, women with CRP > 5 mg/L  
218 were excluded from the analyses where plasma ferritin was involved.

219

#### 220 *Calculation of body iron stores*

221 Body iron assessed as surplus (positive value) or deficit (negative value) of iron in the tissues,  
222 was calculated by using the formula described by Cook et al <sup>(24)</sup>. The formula is derived from a  
223 close linear relationship which was found between the logarithm of the ratio of the concentration  
224 of soluble serum TfR and plasma ferritin (R/F ratio, µg/µg ) and body iron expressed as mg iron  
225 / kg body weight, corrected for the absorption of dietary iron: mg iron per kg = - [log(R/F ratio) –  
226 2,8229] / 0,1207. Since analysis of TfR refers to the in-house ELISA-assay developed by  
227 Flowers et al.<sup>(25)</sup>, TfR results obtained by the Roche method were converted by using the  
228 regression equation presented by Pfeiffer et al <sup>(26)</sup>:

$$229 \text{ Flowers-TfR} = 1.5 * \text{TfR-Roche} + 0.35.$$

230

231



### 232 *Data processing and statistical analysis*

233 Data were analyzed using SPSS version 17 (SPSS Inc., Chicago, IL, USA), STATA version 12  
234 (StataCorp., College Station, TX, USA), and R version 2.16 (r-project.org). Continuous data that  
235 were not normally distributed were presented as median and 25 (P<sub>25</sub>) and 75 (P<sub>75</sub>) percentile.  
236 Two-tailed tests with a significance level of 5% were used for all analyses. We measured the  
237 association of relevant independent variables with the dependent variables (hemoglobin and body  
238 iron) in multiple linear regression models. Variables that were known to influence hemoglobin  
239 and body iron as well as selected socioeconomic variables were included in the initial crude  
240 models. Candidate variables included dietary iron intake, vitamin C intake, vitamin A intake  
241 (beta carotene), phytate intake, use of iron supplements in pregnancy (for at least 6 months),  
242 mothers age, mothers BMI, mothers literacy, parity and the infant age at the time of the baseline  
243 visit. All covariates showing linear association (P<0.10) in the crude regression models were  
244 included in a preliminary multiple regression model. Variables that were still significantly  
245 associated in this model (P<0.10) were retained in the final model <sup>(27)</sup>. Analysis of the residuals  
246 was performed in order to examine the fit of the model. In the final model the following  
247 interactions between the independent variables were assessed and included if the interaction term  
248 was significant (P<0.10): (dietary iron intake x vitamin C (dichotomous variable, intake P<sub>25</sub> (42  
249 mg/d) and P<sub>75</sub> (72 mg /d)) and (time since birth (dichotomous variable, cut-off 6 months) x  
250 prenatal iron supplement for at least six months). We explored and depicted the linearity of the  
251 associations between the independent and dependent variables in generalized additive models  
252 (GAM) <sup>(28)</sup>. We adjusted for clustering of outcomes due to the sampling design using the SVY  
253 group of commands for complex survey data in STATA.

254

### 255 **RESULTS**

256 The mean age of the 500 enrolled women was 25.8 years, and the majority were literate, had a  
257 healthy BMI and less than three children. Iron supplements in pregnancy. was reported by 90%  
258 of the women, for which the mean duration was 4.8 months and more than half initiated  
259 supplementation during the second trimester (Table 1).

260

### 261 *Dietary intake of iron and risk of inadequate intake of iron*

262 The dietary intake of iron and other dietary factors with the potential to affect iron absorption are  
 263 presented in Table 2. The median daily iron intake from food was 17.5 mg and nearly all iron  
 264 consumed was non-haem form (98%). The daily dietary intake of phytate (3304 mg/d) was well  
 265 above the level known to adversely affect iron absorption and the main sources were rice, dal,  
 266 potato and whole wheat flour. Enhancers of iron absorption (meat, fish and poultry; and vitamin  
 267 C) were consumed in moderate amounts. The principal sources of dietary iron in this population  
 268 were mustard leaves, rice flakes (“beaten rice”), turnip leaves, rice, and whole wheat flour (Table  
 269 3). Based on the WHO/FAO EAR for women consuming a diet with a low bioavailability (5%),  
 270 72.7% of the 33 women who were  $\leq 3$  month’s post-partum were considered to have a very high  
 271 risk of inadequate iron intakes. Only 15% of women  $\leq 3$  month’s post-partum were at low risk of  
 272 inadequate dietary iron intakes (Table 4). Using a full probability approach for women  $> 3$   
 273 months post-partum (n=432), the total prevalence of inadequate intake of iron was estimated to  
 274 be 78%.

### 275 ***Iron Status and Anemia***

276 Adjusted for the altitude of our study area (1400 m), the prevalence of anemia (Hb  $< 12.3$  g/dL)  
 277 was 20% and most (17%) was mild anemia (Hb 10.3-12.2 g/dL). Only 5% of the women (n=26)  
 278 had depleted iron stores with plasma ferritin  $< 15$   $\mu\text{g/L}$ , while 15% (n= 73) had TfR  $> 4.4$  mg/L  
 279 indicating insufficient supply of iron to erythropoietic bone marrow and peripheral tissues.  
 280 plasma ferritin Of those with plasma ferritin  $< 15$   $\mu\text{g/L}$ , 69 % (n=18) also had TfR  $> 4.4$  mg/L as a  
 281 sign of empty iron stores with accompanying iron deficient erythropoiesis evidenced by a mean  
 282 hemoglobin concentration of 11.2 g/dL ( SD 1,3). Thus, the prevalence in the whole study group  
 283 of true iron deficient anemia, was 3.6 %. The remaining eight subjects with plasma ferritin  $< 15$   
 284  $\mu\text{g/L}$  and no increase in TfR, had sufficient supply of iron to the tissues despite depleted iron  
 285 stores.

286 103 women had plasma ferritin between 15 and 35  $\mu\text{g/L}$ , which is compatible with depleted or  
 287 very small iron stores in many women<sup>(29)</sup>. In this subgroup 21 women had TfR  $> 4.4$  mg/L  
 288 indicating restricted hemoglobin synthesis due to insufficient supply of iron to the bone marrow.  
 289 Since low plasma ferritin may be evidence of a negative iron balance, it is conceivable that 53 %  
 290 (n=39 with plasma ferritin  $< 35$  mg/L) of the 73 women with increased TfR-values, could be  
 291 explained by restricted iron supply to the tissues.

292 Body iron calculated from the logarithm of the geometric mean of the R/F ratios (95.6), was 7.0  
293 mg iron / kg body weight (SD 3,3) with a range between minus 8,9 to 14,5 mg iron/kg. 15 of the  
294 women (3 %) had negative values indicating tissue iron deficiency, with a mean of minus 2,8 mg  
295 iron / kg ( SD 3,4).The rest of the group (485, i.e.97 %) had a mean body iron of 7,3 mg iron / kg  
296 (SD 5,5) (Table 5).

### 297 *Dietary predictors of iron status and anemia*

298 In the linear regression models (Table 6), intake of iron supplements in pregnancy predicted  
299 hemoglobin concentration. Dietary iron intake and iron supplements in pregnancy predicted body  
300 iron. Intake of iron supplements in pregnancy was associated with a 0.29 (95% CI: 0.04-0.54)  
301 g/dL higher hemoglobin concentration (P = 0.03). Dietary iron intake and potential enhancers  
302 and inhibitors of absorption were not associated with hemoglobin concentration. There was a  
303 weak positive association between dietary intake of iron and body iron 0.03 (95% CI: 0.014,  
304 0.045). In addition, for women with children < 6 months, but not in those with older infants (P-  
305 for-interaction < 0.01), intake of iron supplements in pregnancy were positively associated with  
306 body iron. We identified the same predictors in the multiple linear regression models using  
307 ferritin concentrations and transferrin receptor as the dependent variables. R<sup>2</sup> in the models with  
308 ferritin and transferrin receptors were 0.22 and 0.09, respectively. The association of dietary iron  
309 intake with biochemical markers is also depicted in graphs from GAMs (Figure 2).

310

## 311 **DISCUSSION**

312 In this population of lactating women in Bhaktapur, we found that more than 98% of dietary iron  
313 intake was non-haem iron and the intake of phytate was high. Therefore, >70% of these women  
314 were estimated to be at risk of inadequate intakes. At the same time only 5% had plasma ferritin  
315 indicative of iron deficiency and 15% of women had elevated TfR mainly indicative of iron  
316 deficient erythropoiesis. We demonstrated that dietary iron intake and iron supplements in  
317 pregnancy predicted body iron. Further, intake of iron supplements in pregnancy, but not dietary  
318 variables showed a strong positive association with hemoglobin concentration.

319

### 320 *Dietary iron intake*

321 The iron consumed by these Nepali women was almost exclusively non-haem iron. It should be  
322 noted that no fortified food staples were available to this population at the time of data  
323 collection. The main contributors of dietary iron intake were cooked green leaf relishes,  
324 unrefined whole meal bread *roti* and rice flakes; all of which contained significant amounts of  
325 phytate based on available food composition data <sup>(10, 11)</sup>, and therefore impaired the  
326 bioavailability of iron. In addition, meat which enhances the uptake of non-haem iron <sup>(30)</sup>, was  
327 not commonly consumed. On the other hand, vitamin C intakes were about 70% of the RNI for  
328 lactating women and obtained from vegetable relishes commonly eaten with meals and may have  
329 had a positive impact on iron bioavailability. Tea consumption is unlikely to have had any  
330 substantially negative impact on iron bioavailability because it is typically not consumed with  
331 meals.

332

333 The dietary iron sources were similar to that of a previous study among non-pregnant, non-  
334 lactating women in Bhaktapur, but that study reported lower mean iron intakes (8.4 mg/d) <sup>(4)</sup>.  
335 This may in part be due to the different food composition tables used. The previous study used  
336 exclusively data from the World Food Dietary Assessment System <sup>(10)</sup> whereas we used  
337 primarily Indian food composition data <sup>(11)</sup> because Indian foods were more specific to food  
338 items consumed in Nepal. The iron content of mustard leaves, turnip leaves, rice flakes, refined  
339 rice and whole wheat flour was consistently higher in Indian food composition table, compared  
340 with similar substitute foods in World Food. These values may be higher than the true iron

341 content of these common Nepali foods. However, a study in the Kathmandu valley found that  
342 dietary iron intakes based on chemical analysis of 24-hour diet composites were three times  
343 higher than the calculated dietary intakes for the same 24 hour period using the USDA database  
344 <sup>(31)</sup>. Therefore it is possible that the iron content of the foods consumed in Bhaktapur is higher  
345 than estimated. There is a clear need for a Nepal-specific food composition table.

346

### 347 ***Probability of inadequacy***

348 Based on plasma ferritin and TfR, we found the prevalence of iron deficiency to be 5.3% and  
349 14.6 % respectively. Yet, we estimated that 70% of women were at risk of inadequate dietary  
350 iron intakes. There are several possible reasons for this discrepancy. First, by using a cut-off  
351 value of plasma ferritin < 15 µg /L for depleted iron stores, we have probably underestimated the  
352 prevalence of iron deficiency as signaled by this biomarker (the prevalence of iron deficiency  
353 was 25.8 % by using cut-off values for plasma ferritin < 35 µg /L). As shown in the study by  
354 Hallberg et al <sup>(29)</sup>., at this cut-off value the diagnostic sensitivity and specificity of plasma ferritin  
355 is 75% and 98%, respectively. They found that the iron stores could be negligible at plasma  
356 ferritin concentrations from 35 µg/L and downwards. Second, 90% of women had used iron  
357 supplements in pregnancy and more than half initiated supplementation in second trimester. Thus  
358 temporary iron supplementation in pregnancy is likely to have had a positive effect on the iron  
359 stores during the first few months of lactation, but probably not later <sup>(5)</sup> when iron loss increased  
360 due to the return of menstruation and probably because iron supplementation stopped. Third, we  
361 may have overestimated the probability of inadequate iron intakes because we used the EAR for  
362 non-pregnant non-lactating women for all who were >3 months post-partum. Many of these  
363 women may still have had lactation amenorrhea and therefore had lower iron requirements than  
364 what was the basis of the EAR used. However, the risk of inadequacy for women ≤3 months  
365 post-partum, for whom lactation amenorrhea was taken into account, was similar to those who  
366 had given birth earlier. Fourth, as discussed above, the food composition data used may not have  
367 accurately reflected the true iron, phytate and vitamin C content of Nepali foods. It is possible  
368 that the iron content of several foods is higher and/or the phytate content is lower than what  
369 estimated based on available data.

370 The calculation of body iron based on the ratio between the TfR and plasma ferritin  
371 concentration, gives a more precise picture of the tissue iron content than what is achieved by

372 using cut off limits of the biochemical tests. Our results in iron replete and iron deficient women  
373 were somewhat different from what was reported by Cook JD et al <sup>(24)</sup> in their study of women  
374 between 20 and 45 years of age. In women with normal iron status they found mean iron stores  
375 of 5.5 mg iron / kg ( SD 3.35) versus 7.3 mg iron / kg SD 5.5) in our study, and in women with  
376 iron deficiency, they found a mean deficit in tissue iron of - 3,9 mg/kg ( SD 3.23) versus - 2.8  
377 mg iron / kg (SD 3.4) in our study. As discussed above, the iron status of our women may have  
378 benefited from iron supplementation and less iron loss due to amenorrhea.

379

### 380 *Dietary predictors of iron status and anemia*

381 We found a significant albeit weak positive association between body iron and dietary iron  
382 intake, and also demonstrated that this association was linear. The lack of association between  
383 dietary iron intake and hemoglobin concentration may in part be due to that only a small  
384 proportion of anemia in this population was IDA; 82% and 63% of the anemic women did not  
385 have low plasma ferritin or elevated TfR respectively. However, the GAM curve revealed a  
386 linear association between dietary iron intake and hemoglobin concentration at lower dietary iron  
387 intakes (Figure 2). This effect however is small compared to the strong association between  
388 intake of iron supplements in pregnancy and hemoglobin concentration in our study. As  
389 discussed earlier, this effect persists into the lactation period. In addition the 2011 NDHS showed  
390 that 80% of Nepali women took iron supplementation during last pregnancy and 41% consumed  
391 iron supplements post-partum <sup>(5)</sup>. Lastly, other micronutrient deficiencies may also have been  
392 responsible for lower hemoglobin concentrations in our study, such as folate, vitamin B-12 and  
393 vitamin A <sup>(32)</sup>, but their relationships to hemoglobin were not studied.

394

### 395 *Strengths and weaknesses*

396 This study had a number of strengths. We had a representative sample of lactating women, with a  
397 relatively large sample compared with most other dietary studies. We had three interactive 24-h  
398 recalls with personal recipes adapted to local foods. We trained local staff to perform the recall  
399 interviews and the interviews were done throughout the year, covering the seasonal variation in  
400 food intake at a group level. Using plasma ferritin and TfR as biomarkers, the near linear  
401 association of their relevant changes with dietary iron intake indicates strong relative validity of  
402 our adapted interactive 24-h recall method. Inflammation will obscure the interpretation of

403 plasma ferritin, but not TfR which can explain the difference in prevalence of iron deficiency  
404 based on plasma ferritin and TfR. However, chronic and acute illness was an exclusion criteria in  
405 this study and very few (24 women) had elevated CRP. Therefore we believe that the difference  
406 in prevalence is not due to inflammation. The primary weakness of our study was the reliance of  
407 external food composition tables, which may not reflect the true nutrient composition of local  
408 Nepali foods. Our findings may have also been adversely affected by bias caused by the fact that  
409 participating women knew they were coming in for a dietary interview and may have altered  
410 their diet. However, the fact that few women had insufficient iron intake may suggest that  
411 potential bias would have been towards the overestimation, rather than underestimation of iron  
412 intake.

### 413 **Conclusion**

414 Probably due to a high dietary intake of non-haem iron combined with low bioavailability, a  
415 high proportion of the lactating women in this study were at risk of inadequate intake of iron.  
416 The low prevalence of anemia and iron deficiency may be explained by the majority of the  
417 women consuming iron supplements in pregnancy.

418

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426

### 427 **Conflict of interest**

428 Author disclosure: Henjum, Manger, Skeie, Ulak, Thorne-Lyman, Chandyo, Shresthsa, Locks,  
429 Ulvik, Fawzi, Strand have no conflict of interest.

### 430 **Authorship**

431 T.A:S., R.C., S.H., F.W. and S. P., designed the research; R. C., M. U., E. S., S. H. conducted the  
432 research; S.H., A. T-L., R.U., L.L., and T.A.S analyzed the data; S.H. and M.M. wrote the paper;

433 and S.H. and T. A. S. had primary responsibility for the final content. All authors read and  
434 approved the final manuscript.



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1 **FIGURE LEGENDS**

2 **FIGURE 1** Flow chart of the recruitment of study subjects.

3 **FIGURE 2** Association between daily iron intake (mg) and hemoglobin (g/dL), plasma ferritin  
4 ( $\mu\text{g/L}$ ) and plasma transferrin receptor (mg/L) in lactating women in Bhaktapur, Nepal ( $n = 466$ ).  
5 The graph was made by using generalized additive models in R. The solid curves depict the  
6 estimated dose-response curve; the shaded areas represent the 95% CIs. The small vertical lines  
7 on the x axis show the distribution of the observations.

**Table 1** Demographic and anthropometric characteristics of 500 lactating women in Bhaktapur, Nepal.

<b>Women's demographic information</b>	
Age, years <sup>1</sup>	25.8 (4.2)
Employed <sup>2,3</sup>	103 (21.0)
Years of school <sup>1,4</sup>	2.5 (1.0)
Literate <sup>2</sup>	379 (81.5)
<b>Women's Body Mass Index (kg/m<sup>2</sup>)<sup>1,5</sup></b>	<b>22.5 (3.1)</b>
< 18.5 <sup>2</sup>	23 (4.6)
18.5-25 <sup>2</sup>	366 (78.7)
> 25 <sup>2</sup>	84 (17.0)
<b>Parity<sup>1</sup></b>	<b>1.9 (0.9)</b>
One <sup>2</sup>	203 (41.0)
Two <sup>2</sup>	206 (41.0)
Three or more <sup>2</sup>	90 (18.0)
<b>Iron supplements in pregnancy<sup>2</sup></b>	<b>449 ( 89.8)</b>
Months of iron supplementation (n=449) <sup>1</sup>	4.8 (1.9)
Started in first trimester <sup>2</sup>	144 (29.0)
Started in second trimester <sup>2</sup>	262 (52.4)
Started in third trimester <sup>2</sup>	43 (8.6)

<sup>1</sup> Mean (SD)

<sup>2</sup> Numbers (%)

<sup>3</sup> Work in agriculture, carpet factory, daily wage earner, self-employed, or services.

<sup>4</sup> Primary school (1<sup>st</sup> -3<sup>rd</sup> grade); Secondary school (4<sup>th</sup> -10<sup>th</sup> grade); School Leaving Certificate, Intermediate school, or Bachelor degree

<sup>5</sup> BMI < 18.5 was defined as underweight, BMI 18.5 - 25 was defined as normal weight and BMI > 25 was defined as overweight

**Table 2 Dietary intakes (usual intake based on three 24-h recalls per women) of energy, nutrients, foods, and constituents influencing iron absorption in 466 lactating women in Bhaktapur, Nepal.**

Variables	
Energy intake, kcal <sup>1</sup>	2024 (312)
Dietary iron, mg <sup>2</sup>	17.5 (13.3, 24.7)
Haem , mg <sup>2</sup>	0.3 (0.0, 0.6)
Available iron, mg <sup>2,3</sup>	0.8 (0.6, 1.3)
Vitamin C, mg <sup>2</sup>	55.8 (42.0, 71.7)
Calcium, mg <sup>2</sup>	461.0 (334.3, 632.4)
Meat, fish & poultry, g <sup>2, 4</sup>	54.0 (37.9, 72.5)
Protein meat, fish & poultry, g <sup>2, 4</sup>	18.35 (7.9, 23.7)
Dry tea, g <sup>2, 5</sup>	2.1 (1.5, 2.6)
Phytate, mg <sup>1</sup>	3304 (776)
Phytate:iron molar ratio <sup>6</sup>	15.9 (10.4, 24.3)

<sup>1</sup> Mean (SD)

<sup>2</sup> Median (25th, 75th percentile)

<sup>3</sup> 5% bioavailability calculated according to Murphy et al <sup>(16)</sup>

<sup>4</sup> (n=327)

<sup>5</sup> (n=425)

<sup>6</sup> Gibson and Ferguson<sup>(9)</sup>

**Table 3 Main sources of dietary iron in Bhaktapur, Nepal.**

Food	Iron contribution to intake (%)	Iron content (mg Fe/100g food) <sup>1</sup>
Mustard leaves	25.4	16.3
Rice flakes	50.0	20.0 <sup>2</sup>
Turnip leaves	10.2	28.4
Rice	8.1	0.2
Wheat flour whole	4.9	4.9

<sup>1</sup> Value from the Indian FCT<sup>(11)</sup>, <sup>2</sup> When using a value from Suma et al<sup>(33)</sup>, the iron contribution to intake was 18% and the iron content 5.2 mg

**Table 4 Proportion of different risk-groups of inadequate iron intake for 33 lactating women who  $\leq 3$  months post-partum consuming a diet with 5% iron bioavailability<sup>1</sup> in Bhaktapur, Nepal**

Risk of inadequate iron intake	Dietary iron intake(mg)	Proportion <sup>2</sup>
Very high <sup>3</sup>	$\leq 23.4$	24 (72.7)
Moderate <sup>4</sup>	23.4 – 30.0	4 (12.1)
Low <sup>5</sup>	$\geq 30.0$	5 (15.2)

<sup>1</sup>Iron bioavailability calculated according to Murphy et al <sup>(16)</sup> and WHO recommendations <sup>(18)</sup>

<sup>2</sup>Numbers (%)

<sup>3</sup> Average dietary iron intake  $\leq$ EAR for lactating women

<sup>4</sup> Average dietary iron intake  $>$ EAR and  $\leq$ RNI for lactating women

<sup>5</sup> Average dietary iron intake  $>$ RNI for lactating women

**Table 5 Haemoglobin, plasma ferritin and transferrin receptor among 500 lactating women in Bhaktapur, Nepal**

	Mean (SD) or N (%) where indicated
<b><i>Hemoglobin (g/dL)</i></b>	13.1 (1.3)
Anemia < 12 g/dL	61 (12%)
Anemia <12.3 g/dL <sup>1</sup>	100 (20%)
Mild anemia 10.3-12.2 g/dL <sup>1</sup>	87 (17.4)
Moderate anemia 7.3-10.2 g/dL <sup>1</sup>	13 (2.6%)
Severe anemia <7.3 d/dL <sup>1</sup>	0 (0%)
<b><i>Plasma ferritin (µg/L)<sup>2</sup></i></b>	68.8 (46.2)
< 15 µg/L <sup>2</sup>	26 (5.3%)
< 35 µg/L <sup>2</sup>	129 (25.8%)
<b><i>Plasma transferrin receptor (TfR), mg/L</i></b>	3.4 (1.5)
> 4.4 mg/L <sup>3</sup>	73 (15%)
<b><i>Ferritin &lt; 15 µg/L and TfR &gt; 4,4 mg/L</i></b>	18 (3,6%)
<b><i>C-reactive protein (CRP)</i></b>	
>5.0 mg/L	24 (5%)
<b><i>Body iron (mg iron/kg body weight)<sup>4</sup></i></b>	7,0 (3.3) (range: - 8,9 to 14,5)
Tissue iron deficit, N=15 (3%)	- 2,8 (3,4)
Storage iron present, N=485 (97%)	7,3 (5,5)

<sup>1</sup>Threshold for defining anemia is adjusted for altitude <sup>(22)</sup>

<sup>2</sup> (n=476), 24 women excluded due to elevated CRP

WHO cut-off for depletion of iron stores <sup>(21)</sup>

<sup>3</sup> Cut-off for iron deficient erythropoiesis deficiency <sup>(23)</sup>

<sup>4</sup> Negative value is a quantitative measure of tissue iron deficit (i.e. lack of stored iron)



**Table 6 Multiple linear regression models of the relationship between dietary iron intake, hemoglobin concentration and body iron (n=500)**

	Multiple adjusted <sup>1</sup> β (95% CI)	P	Standardized beta coefficients
<b>Model 1 Hemoglobin (R<sup>2</sup>=0.02)</b>			
Iron supplements in pregnancy <sup>2,3</sup>	0.29 (0.04, 0.54)		<b>0.03</b>
			<b>0.11</b>
<b>Model 2 Body iron, mg Fe/kg body weight (R<sup>2</sup>=0.20)</b>			
Dietary iron (mg)	0.029 (0.014, 0.045)	<b>&lt;0.01</b>	<b>0.16</b>
Time since birth x Iron in pregnancy <sup>4</sup>	2.69 (1.54, 3.84)	<b>&lt;0.01</b>	
Iron supplements in pregnancy			
<i>Time since birth &lt; 6 months</i>	2.72 (1.79, 3.65)	<b>&lt;0.01</b>	<b>0.40</b>
<i>Time since birth ≥ 6 months</i>	0.03 (-0.67, 0.78)	<b>0.93</b>	<b>0.00</b>
Time since birth < 6 months			
Iron supplements in pregnancy	2.24 (1.36, 3.13)	<b>&lt;0.01</b>	<b>0.33</b>
Iron not supplements in pregnancy	-0.45 (-0.29, 1.18 )	<b>0.23</b>	<b>-0.07</b>
Mothers age <sup>5</sup>	0.21 (0.14, 0.28)	<b>&lt;0.01</b>	<b>0.26</b>
Literacy <sup>6</sup>	0.81 (0.25, 1.39)	<b>&lt;0.01</b>	<b>0.12</b>
Ownership of land <sup>6</sup>	0.74 (0.19, 1.30)	<b>&lt;0.01</b>	<b>0.11</b>

<sup>1</sup> Both models included mother's age, parity, literacy, and child's age

<sup>2</sup> Iron supplements in pregnancy at least 6 months, dichotomous variable (yes/no)

<sup>3</sup> No significant interaction between time since birth (dichotomous variables cut-off 6 months) and iron supplements in pregnancy at least 6 months

<sup>4</sup> Interaction between time since birth (dichotomous variables cut-off 6 months) and iron supplements in pregnancy at least 6 months

<sup>5</sup> Continuous variable

<sup>6</sup> Dichotomous variable (yes/no)